


Please type a plus sign (+) inside this box → 

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0851-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 112056-0139U

First Inventor Daniel Ting et al.

Title SYSTEM AND METHOD FOR COMPARING DATA SETS

Express Mail Label No. EV 335586350 US

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages)
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets)
5. Oath or Declaration (unsigned) (Total Pages)
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
Box 1450
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No: ____ /

Prior application information: Examiner ____

Group / Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

(Insert)

24267
PATENT TRADEMARK OFFICE

(here)

or ☐ Correspondence address below

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Country

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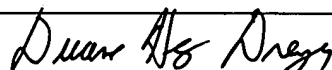
Name (Print/Type)

Duane H. Dreger

Registration No. (Attorney/Agent)

48,836

Signature



Date

February 11, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2003 <i>Effective 01/01/2003. Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	Not yet assigned
		Filing Date	February 11, 2004
		First Named Inventor	Daniel Ting et al.
		Examiner Name	Not yet assigned
<input type="checkbox"/> Applicant Claims Small Entity Status. See 37 CFR 1.27		Group Art Unit	Not yet assigned
TOTAL AMOUNT OF PAYMENT (\$) 1,524		Attorney Docket No.	112056-0139U

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account Order		3. ADDITIONAL FEES					
Deposit Account Number: 03-1237 Deposit Account Name: Cesari and McKenna, LLP		Large Entity Small Entity					
The Commissioner is authorized to: (check all that apply) Charge any deficiency or <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		Fee Code (\$)		Fee Code (\$)	Fee Description	Fee Paid	
1. BASIC FILING FEE		1051	130	2051	65	Surcharge - late filing fee or oath	
Large Entity Small Entity		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Fee Code (\$)	Fee Code (\$)	1053	130	1053	130	Non-English Specification	
1001	750	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1002	330	1804	920	1804	920*	Requesting publication of SIR prior to Examiner action	
1003	520	1805	1,840	1805	1,840*	Requesting publication of SIR after Examiner action	
1004	750	1251	110	2251	55	Extension for reply within first month	
1005	160	1252	410	2252	205	Extension for reply within second month	
SUBTOTAL (1) (\$)		1253	930	2253	465	Extension for reply within third month	
770		1254	1,450	2254	725	Extension for reply within fourth month	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		1255	1,970	2255	985	Extension for reply within fifth month	
Total Claims 38 - 20** = 18 x 18 = 324		1401	320	2401	160	Notice of Appeal	
Independent Claims 8 - 3** = 5 x 86 = 430		1402	320	2402	160	Filing a brief in support of an appeal	
Multiple Dependent		1403	280	2403	140	Request for oral hearing	
Large Entity Small Entity		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
Fee Code (\$)	Fee Code (\$)	1452	110	2452	55	Petition to revive - unavoidable	
1202	18	1453	1,300	2453	650	Petition to revive - unintentional	
1201	84	1501	1,300	2501	650	Utility Issue fee (or reissue)	
1203	280	1502	470	2502	235	Design Issue fee	
1204	84	1503	630	2503	315	Plant Issue fee	
1205	18	1460	130	1460	130	Petitions to the Commissioner	
SUBTOTAL (2) (\$)		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
754		1806	180	1806	180	Submission of Information Disclosure Stmt	
3. ADDITIONAL FEES		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
Fee Code (\$)	Fee Code (\$)	1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
1202	18	1801	750	2801	375	Request for Continued Examination (RCE)	
1201	84	1802	900	1802	900	Request for expedited examination of a design application	
1203	280	Other fee (specify)					
1204	84	Other fee (specify)					
1205	18	SUBTOTAL (3) (\$)					0
SUBTOTAL (2) (\$)		*Reduced by Basic Filing Fee Paid					
754							
SUBMITTED BY		Complete (if applicable)					
Name (Print/Type)	Duane H. Dreger	Registration No. (Attorney/Agent)	48,836	Telephone	(617) 951-2500		
Signature	<i>Duane H. Dreger</i>	Date	February 11, 2004				

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OF COMPLETED FORMS TO THIS

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Daniel Ting et al.
Title	SYSTEM AND METHOD FOR COMPARING DATA SETS
Atty Docket Number	112056-0139U

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 11, 2004

Date



Signature

Duane H. Dreger, Reg. No 48,836

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.